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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(211.004-US)

In re Application of: **FERRANT ET AL.**

U.S. Application Serial No: **10/840,009**

U.S. Filing Date: **MAY 6, 2004**

Title: **SEMICONDUCTOR MEMORY DEVICE AND  
METHOD OF OPERATING SAME**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

) Group Art Unit: **2818**

) Examiner:

I hereby certify that this correspondence  
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22313-1450 on August 12, 2004

Date  
Michiko Sites  
(person signing this certificate)

Michiko Sites  
Signature

**THIRD INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

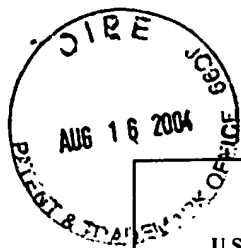
Submitted herewith are two (2) sheets of modified Form PTO-1449. A copy of each document identified on the Form PTO-1449 is also submitted.

It is respectfully requested that the Examiner make his/her consideration of these documents formally of record with the initial Office Action.

Respectfully submitted,

Date: August 12, 2004

Neil A. Steinberg  
Reg. No. 34,735  
650-968-8079



PTO-1449 (Modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. <b>211.004-US</b>	SERIAL NUMBER <b>10/840,009</b>
	APPLICANT(S) <b>Ferrant et al.</b>	
	FILING DATE <b>May 6, 2004</b>	GROUP ART UNIT <b>2818</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	02-294076	2/1991	Japanese				
	2000-247735	8/2000	Japanese				
	2000-274221	9/2000	Japanese				
	2000-389106	12/2000	Japanese				
	2001-180633	6/2001	Japanese				
	62-272561	11/1987	Japanese				
*	8-274277	10/1996	Japanese				
	9-82912	3/1997	Japanese				
	10-242470	9/1998	Japanese				
	11-87649	3/1999	Japanese				
	2002-94027	3/2002	Japanese				
	2002-176154	6/2002	Japanese				
	2002-246571	8/2002	Japanese				
	2002-329795	11/2002	Japanese				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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<b>PTO-1449 (Modified)</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> <b>211.004-US</b>	<b>SERIAL NUMBER</b> <b>10/840,009</b>
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	2002-343886	11/2002	Japanese				
	2002-353080	12/2002	Japanese				
	2003-31693	1/2003	Japanese				
	2003-86712	3/2003	Japanese				
	2003-100641	4/2003	Japanese				
	2003-100900	4/2003	Japanese				
	2003-132682	5/2003	Japanese				
	2003-203967	7/2003	Japanese				
	2003-243528	8/2003	Japanese				
	2002-009081	1/2002	Japanese				
	9-046688	2/1997	Japanese				
	8-213624	8/1996	Japanese				
	3-171768	7/1991	Japanese				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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